

VAN DER WAALS FORCES

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The Van der Waals Force is an attracting force between neutral atoms with $|R|$ the distance of the atoms. Our aim will be to show that such a force exists, which means we need to show that the energy would decrease if we move the atoms closer together. We will try to show the following inequality $e(R) \leq e^1 + e^2 - C|R|^{-6}$ for some constant $C > 0$, where $e(R)$ is the total energy and e^1, e^2 the energy of each atom. We will restrict us to the case of two hydrogen atoms (one electron and one nucleon each.)

First we have to find the Hamiltonian H for our system, which is given by:

$$\begin{aligned} H^i &= -\Delta_i - \frac{1}{|x_i|} \quad (i = 1, 2) \\ V &= \frac{1}{|x_1 - x_2 + R|} - \frac{1}{|x_2 + R|} - \frac{1}{|x_1 + R|} + \frac{1}{|R|} \\ H &= H^1 + H^2 + V \end{aligned}$$

Where H^i is the Hamiltonian of each of the atoms and V the interaction between the two atoms, x_i is always the distance from electron to its corresponding nucleon and R is the vector pointing from the position of nucleon one to the position of nucleon two.

Theorem 1:

There exists a function ψ^* s.t. $\langle \psi^*, H\psi^* \rangle = 2e - C(R) + b(|R|)$, where $C > 0$, e is the energy given by the infimum of the spectrum of a hydrogen atom, $b(|R|)$ is exponentially decreasing and $C(|R|)$ decreases like $|R|^{-6}$ for $|R|$ big enough.

Proof:

Step 1: Construction of the Trial function ψ^* .

Because we are just looking at the hydrogen atom we know its ground state wavefunction ϕ_0 , namely: $\phi_0^i = ce^{|x|/2}$ with $c > 0$ and it holds true that $H^i\phi_0^i = e\phi_0^i$. Next we try to construct a cut-off function, so that the two wavefunctions of the

atoms do not overlap with each other. Let

$$\begin{aligned}\phi^i(x_i) &= \phi_0^i(x_i)f(x_i) \\ &\text{with} \\ f(x_i) &= 1 \text{ for } |x_i| \leq \frac{|R|}{2} - 1 \\ f(x_i) &= 0 \text{ for } |x_i| \geq \frac{|R|}{2},\end{aligned}$$

f is smooth, $|\nabla_i f|, |\Delta_i f| < K$ with K independent of $|R|$ and we assume $\|\phi^i\| = 1$ (else normalize ϕ). Now it holds that:

$$\begin{aligned}H^i \phi^i &= -\Delta_i \phi_0^i(x_i)f(x_i) - \frac{1}{|x_i|} \phi_0^i(x_i)f(x_i) \\ &= -\Delta_i(\phi_0^i(x_i))f(x_i) - \frac{1}{|x_i|} \phi_0^i(x_i)f(x_i) - (\phi_0^i(x_i)\Delta_i f(x_i) + 2\nabla_i \phi_0^i(x_i)\nabla_i f(x_i)) \\ &= e\phi_0^i - (\phi_0^i(x_i)\Delta_i f(x_i) + 2\nabla_i \phi_0^i(x_i)\nabla_i f(x_i))\end{aligned}$$

This yields us

$$\begin{aligned}\langle \phi^i, H^i \phi^i \rangle &= \underbrace{\int e(\phi^i)^2 dx_i}_{=e} - \underbrace{\int \phi^i(\nabla_i \phi^i \nabla_i f + \nabla_i \phi^i \nabla_i f + \phi_0^i \Delta_i f) dx_i}_{=\nabla(\phi_0^i \nabla_i f)} \\ &\stackrel{\text{integration by parts}}{=} e + \int (\phi_0^i(x_i)\Delta_i f(x_i) - \nabla_i(\phi_0^i)f\phi_0^i \nabla_i f - \phi_0^i \nabla_i(f)\phi_0^i \nabla_i f) dx_i \\ &= \underbrace{e + \int |\phi_0^i|^2 |\nabla_i f|^2 dx_i}_{=b_1^i}\end{aligned}$$

Where b_1^i is exponentially decreasing, because $|\phi_0^i| = ce^{-|x|/2}$, $\nabla_i f(x_i) = 0$ for all $|x_i| \leq \frac{|R|}{2} - 1, |x_i| \geq \frac{|R|}{2}$ and $\nabla_i f(x_i) < K$. Next we define the polarized atoms as the following:

$\psi_m^i := m \cdot \nabla_i \phi^i$ for $m \in \mathbb{R}$ and $|m| = 1$. Then ψ_m^i and ϕ^i are orthogonal, because:

$$\begin{aligned}\langle \psi_m^i, \phi^i \rangle &= \int m \cdot \nabla_i(\phi^i)\phi^i dx_i \\ &\stackrel{\text{integration by parts}}{=} - \int m \cdot \nabla_i(\phi^i)\phi^i dx_i \\ &\Rightarrow \langle \psi_m^i, \phi^i \rangle = 0\end{aligned}$$

In addition to that let $\langle \psi_m^i, \psi_m^i \rangle = \int |m \cdot \nabla_i \phi^i|^2 dx_i := r^i$, where r^i does not depend on m because ϕ is spherically symmetric.

Finally we can define our trial function:

$$(1) \quad \psi^* = \underbrace{\phi^1 \otimes \phi^2}_{=\phi} + \lambda \underbrace{\psi_m^1 \otimes \psi_n^2}_{=\psi}$$

Step 2: Calculate the expected value of H^i .

To do that we calculate every possible combination of $\langle \cdot, H^i \cdot \rangle$ with ϕ and ψ .

First

$$\langle \phi, H^i \phi \rangle = \langle \phi^i, H^i \phi^i \rangle \underbrace{\langle \phi^j, \phi^j \rangle}_{=1} = e + b_1^i.$$

Next

$$\langle \phi, H^i \psi \rangle = \langle \phi^i, H^i \psi_m^i \rangle \underbrace{\langle \phi^j, \psi_n^j \rangle}_{=0} = 0.$$

And for the last let $P^i = m \cdot \nabla_i$, then $(P^i)^* = -P^i$, then

$$\begin{aligned} \langle \psi, H^i \psi \rangle &= \langle \psi_m^i, H^i \psi_m^i \rangle \|\psi_n^j\|^2 \\ &= -1/2 \langle \phi^i, ([P^i, [H^i, P^i]] + H^i (P^i)^2 + (P^i)^2 H^i) \phi^i \rangle r^j \end{aligned}$$

where $[P, H] = PH - HP$. Now we split up the sum again and get for

$$\begin{aligned} -1/2 \langle \phi^i, (H^i (P^i)^2 + (P^i)^2 H^i) \phi^i \rangle &= -1/2 (\langle \phi^i, (P^i)^2 H^i \phi^i \rangle + \langle \phi^i, H^i (P^i)^2 \phi^i \rangle) \\ &\stackrel{H^i \text{ is symmetric}}{=} -1/2 (\langle (P^i)^2 \phi^i, 2H^i \phi^i \rangle + \langle H^i \phi^i, (P^i)^2 \phi^i \rangle) \\ &\stackrel{\text{the functions are just in } \mathbb{R}^3}{=} -\langle (P^i)^2 \phi^i, H^i \phi^i \rangle \\ &= -\int e \phi(x_i) (P^i)^2 \phi(x_i) \\ &\quad - (P^i)^2 \phi(x_i) (\phi_0^i(x_i) \Delta_i f(x_i) + 2 \nabla_i \phi_0^i(x_i) \nabla_i f(x_i)) dx_i \\ &\stackrel{\text{integration by parts}}{=} \int e (P^i \phi(x_i))^2 dx_i \\ &\quad + \underbrace{\int (P^i)^2 \phi(x_i) (\phi_0^i(x_i) \Delta_i f(x_i) - 2 \phi_0^i(x_i) \Delta_i f(x_i)) dx_i}_{=b_2^i} \\ &= er^1 + b_2^i \end{aligned}$$

where b_2^i is exponentially decreasing, because $|\phi_0^i| = ce^{-|x|/2}$, $\underbrace{(P^i)^2 \phi \Delta_i f(x_i)}_{|\phi_0^i| \rightarrow \infty} = 0$, $\Delta_i f =$

0 for all $|x_i| \leq \frac{|R|}{2} - 1$, $|x_i| \geq \frac{|R|}{2}$ and $\Delta_i f(x_i) < K$.

For the remaining part we get

$$\begin{aligned}
[H^i, P^i] &= P^i(-\Delta - \frac{1}{|x_i|}) - (-\Delta - \frac{1}{|x_i|})P^i \\
&= P^i(\frac{1}{|x_i|}) \\
[P^i, P^i(\frac{1}{|x_i|})] &= P^i P^i(\frac{1}{|x_i|}) - P^i \frac{1}{|x_i|} P^i \\
&= (P^i)^2 \frac{1}{|x_i|}
\end{aligned}$$

Now we average m over the orthogonal base $(1, 0, 0)$, $(0, 1, 0)$, $(0, 0, 1)$, which works because $\frac{1}{|x_i|}$ is spherical symmetric so $(P^i)^2 \frac{1}{|x_i|}$ can't depend on m , so we get

$$(P^i)^2 \frac{1}{|x_i|} = -1/3 \Delta \frac{1}{|x_i|} = \frac{4\pi}{3} \delta(x_i)$$

Where δ is the Dirac Delta distribution i.e. $\int f(x)\delta(x)dx = f(0)$ [3, chapter 1.15, p.83]. Together this yields us that

$$\langle \psi, H^i \psi \rangle = er^1 r^2 + b_2^i r^j + \underbrace{\frac{2\pi}{3} \langle \phi, \delta(x_i) \frac{1}{|x_i|} \phi \rangle}_{=Q^i} r^j = e|\psi| + (b_2^i + Q^i)r^j$$

Step 3: Calculate the expected value of V

For this we need

Newton's Theorem [1, chapter 10, p.249]:

$$\int \frac{\phi(x)}{|x-y|} dx = \frac{1}{|y|} \int \phi(x) dx \text{ if } \phi \text{ is spherical symmetric and } y \in \text{supp}\{\phi\}.$$

We use this Theorem to calculate every possible combination of $\langle \cdot, V \cdot \rangle$ with ϕ and ψ .

First

$$\begin{aligned}
\langle \phi, V \phi \rangle &= \int \int \left(\frac{1}{|x_1 - x_2 + R|} - \frac{1}{|x_2 + R|} - \frac{1}{|x_1 + R|} + \frac{1}{|R|} \right) (|\phi(x_1)|^2 |\phi(x_2)|^2) dx_1 dx_2 \\
&\stackrel{\text{Newton}}{=} \left(\frac{1}{|R|} - \frac{1}{|R|} - \frac{1}{|R|} + \frac{1}{|R|} \right) \int \int |\phi(x_1)|^2 |\phi(x_2)|^2 dx_1 dx_2 \\
&= 0
\end{aligned}$$

Secondly

$$\begin{aligned}
\langle \phi, V\psi \rangle &= \int \int \phi^1 m \cdot \nabla_1 \phi^1 \phi^2 n \cdot \nabla_2 \phi^2 V dx_1 dx_2 \\
&= \int \int \frac{1}{2} m \cdot \nabla_1 (\phi^1)^2 \frac{1}{2} n \cdot \nabla_2 (\phi^2)^2 V dx_1 dx_2 \\
&\stackrel{\text{integration by parts}}{=} \int \int \frac{1}{2} (\phi^1)^2 \frac{1}{2} (\phi^2)^2 m \cdot \nabla_1 n \cdot \nabla_2 V dx_1 dx_2 \\
&= \frac{4}{9|R|^3} \underbrace{1/4 \int \int (|\phi(x_1)|^2 |\phi(x_2)|^2) dx_1 dx_2}_{=1} = \frac{1}{9|R|^3}
\end{aligned}$$

Where averaged over this orthogonal base

$$\begin{aligned}
&\left(\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ -1 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \right), \\
&\left(\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ -1 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \right), \\
&\left(\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ -1 \\ 0 \end{pmatrix} \right), \left(\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \right)
\end{aligned}$$

and then used Newton Theorem.

Now the last

$$\begin{aligned}
\langle \psi, V\psi \rangle &= \int \int (m \cdot \nabla_1 \phi^1)^2 (n \cdot \nabla_2 \phi^2)^2 V dx_1 dx_2 \\
&\stackrel{\text{average over the same base}}{=} \int \int \left(\sum_{i,j}^3 \frac{d}{dx_1^i} \phi^1 \right)^2 \left(\frac{d}{dx_2^i} \phi^2 \right)^2 V dx_1 dx_2 \\
&= \int \int \left(\frac{1}{9} \sum_{i,j}^3 \frac{d}{dx_1^i} \phi^1 \right)^2 \left(\frac{d}{dx_2^i} \phi^2 \right)^2 \left(\frac{1}{|R|} - \frac{1}{|R|} - \frac{1}{|R|} + \frac{1}{|R|} \right) dx_1 dx_2 \\
&\stackrel{\text{Newton Theorem}}{=} \int \int \left(\frac{1}{9} \sum_{i,j}^3 \frac{d}{dx_1^i} \phi^1 \right)^2 \left(\frac{d}{dx_2^i} \phi^2 \right)^2 dx_1 dx_2 \left(\frac{1}{|R|} - \frac{1}{|R|} - \frac{1}{|R|} + \frac{1}{|R|} \right) \\
&= 0
\end{aligned}$$

Step 4: Finding a good λ recall formula(1):

From all steps before it follows that

$$\begin{aligned} \langle \psi^*, H\psi^* \rangle &= e + e + b_1^1 + b_1^2 + \lambda \frac{1}{9|R|^3} + r^1 e \|\psi\| + r^2 e \|\psi\| + \lambda^2 \underbrace{((b_2^1 + Q^1)r^2 + (b_2^2 + Q^2)r^1)}_{=Q^*} \\ &= 2e\|\psi^*\| + b_1^1 + b_1^2 + \lambda \frac{1}{9|R|^3} + \lambda^2 Q^* \end{aligned}$$

Now choose for $\lambda = -\frac{1}{18|R|^3 Q^*}$, then $\|\psi\|^2 = 1 + \frac{\|\psi\|^2}{18^2|R|^6(Q^*)^2}$. This yields us then

$$\begin{aligned} \langle \psi^*, H\psi^* \rangle &= 2e\|\psi^*\| + b_1^1 + b_1^2 - \frac{1}{|R|^6 Q^* 9 \cdot 18} + \frac{1}{18^2 |R|^6 Q^*} \\ &= \|\psi^*\| \left(2e + \frac{b_1^1 + b_1^2}{\|\psi\|} \right) - \frac{1}{18^2 Q^*} \left(|R|^6 + \frac{r^1 r^2}{18^2 Q^*} \right)^{-1} \end{aligned}$$

Because b_1^i, b_2^i are exponentially decreasing this yields our result if we simply normalize $\|\psi^*\|$.

REFERENCES

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